

## INFORMATION (QUESTIONNAIRE) FOR TRADE FINANCE



Date \_\_\_\_\_/ 20 \_\_\_\_ Approved by the Board of JSC "Rietumu Banka", Minutes No. 13, 12.04.2019

COMPLETE IN BLOCK CAPITALS

Company	ıll name)
Registration No.	ration
Contact phone + E-mail _	
(country code)	
Description of company's activities	
Fime on the marketyears	
Company owners	
Name, surname / Company name	
Work experience (for a private individual)	
vvoix experience (for a private individual)	
Name, surname / Company name	
Work experience (for a private individual)	
Name, surname / Company name	
Work experience (for a private individual)	
Key managers of the company	
Name, surname	
Work experience	
Name, surname	
Work experience	
Name, surname	
Work experience	

Expected credit line amount	Preferred annual interest rate %
Purpose of the requested financing:	
Offered collateral:	
Key commodity suppliers	
1 Name of the supplier and the country of registration:	
Commodities:	
Countries of dispatching of commodities:	
Settlement procedure with suppliers: prepayment letter of credit other	deferred payment days documentary collection
Delivery terms of commodities (Incoterms 2010) (e.g., FC	DB Riga):
Percent of total purchase volume	Operational experience with the supplier from year
, , ,	
Countries of dispatching of commodities:	
	deferred payment days documentary collection  DB Riga):
Percent of total purchase volume	Operational experience with the supplier from year
Countries of dispatching of commodities:	
Settlement procedure with suppliers: prepayment letter of credit other	,
Delivery terms of commodities (Incoterms 2010) (e.g., FC	DB Riga):
4 Name of the supplier and the country of registration:	Operational experience with the supplier from year
Commodities:	
Countries of dispatching of commodities:	

Settlement procedure with suppliers:	prepayment	deferred payment days
	letter of credit	documentary collection
	other	
Delivery terms of commodities (Incot	terms 2010) (e.g., FO	B Riga):
Percent of total purchase volume		Operational experience with the supplier from year
5 Name of the supplier and the cou	untry of registration: _	
Commodities:		
Countries of dispatching of commod	ities:	
Settlement procedure with suppliers:	prepayment	deferred payment days
	letter of credit	
Delivery terms of commodities (Incom	terms 2010) (e.g., FO	B Riga):
Percent of total purchase volume		Operational experience with the supplier from year
Additional information:		
Key commodity buyers		
1 Name and country of registration	of the buyer:	
Commodities:		
Countries of delivery of commodities	:	
Settlement procedure with buyers:	prepayment	deferred payment days
	letter of credit	documentary collection
	other	
Delivery terms of commodities (Incot	cerms 2010) (e.g., FO	B Riga):
Percent of total sales volume	Operati	ional experience with the supplier from year
2 Name and country of registration	of the buyer.	
, ,	•	
,		
Settlement procedure with buyers:	prepayment	deferred payment days
	letter of credit	,
	other	

Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
3 Name and country of registration	of the buyer:
Commodities:	
Countries of delivery of commodities	s:
Settlement procedure with buyers:	prepayment deferred payment days
	letter of credit documentary collection
	other
Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
4 Name and country of registration	of the buyer:
Commodities:	
Countries of delivery of commodities	5:
Settlement procedure with buyers:	prepayment deferred payment days
	letter of credit documentary collection
	other
Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
5 Name and country of registration	of the buyer:
Commodities:	
Countries of delivery of commodities	s:
Settlement procedure with buyers:	prepayment days
	letter of credit documentary collection
	other
Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
Additional information:	

## Turnover data

Please specify information for last two periods and for the current period

Name of commodity	year Lilli		year ————		year month	
	mt	(currency)	mt	(currency)	mt	(currency)
Total						
		in	cl.			
Duration of the trade cycle:						
Terminals from which the deliv	eries of commod	ities are carried o	ut			
1 Name, address:						
Direct cooperation						
Cooperation through a forw	Cooperation through a forwarder					
2 Name, address:						
Direct cooperation						
Cooperation through a forw	Cooperation through a forwarder					
3 Name, address:						
Direct cooperation						
Cooperation through a forwarder						
Bank accounts of the company in other banks:						
(full name of the	bank)			(country)		
Additional information:						

Current credit obligations of the cor	mpany		
1 Creditor	(full name)	(sum)	(currency)
Credit Credit line	Leasing Overdra	aft Date of discharge	
Collateral:			
2 Creditor	(full name)	(sum)	(currency)
Credit Credit line	Leasing Overdra	aft Date of discharge	
Collateral:			
3 Creditor	(full name)	(sum)	(currency)
Credit Credit line	Leasing Overdra	aft Date of discharge	
Collateral:			
Additional information:			

The Bank processes the personal data of private individuals (hereinafter – data) in accordance with the laws of the Republic of Latvia and the European Union.

Full information on the processing of data by the Bank, the purposes, legal basis of the processing, data recipients, the transmission and transfer of data, the rights and obligations of the data subject, and other information regarding the data processing is included in the Client Personal Data Processing Notice, whose current wording is published on www.rietumu.com. The applicant confirms that he/she has read the above document, is informed on its conditions, and is aware of that the Bank is entitled to unilaterally amend the Client Personal Data Processing Notice.

If the applicant or any of his/her representatives transfer to the Bank the data of private individuals and/or documents comprising data, the applicant and his/her representatives certify and guarantee that:

- they are entitled to transfer the data of these persons to the Bank and comply with the requirements of the data protection laws;
- persons whose data were transferred to the Bank were informed on the data processing by the Bank and have given consent or otherwise permitted the processing of their data. The applicant and his/her representatives have presented the Client Personal Data Processing Notice to these persons;
- upon the Bank's request the applicant and his/her representatives would immediately provide the Bank with the documents approving the consent or any other permission given by the respective person to the transferring and processing of the data by the Bank, or a document approving other grounds for transferring and processing of the data in the Bank.

The applicant and his/her representatives are liable for the claims of any private individuals against the Bank, and losses related thereto, and the sanctions imposed by competent institutions, if any.

The Bank is not liable for any actions of third parties, including for further disclosure, use, storage or transferring of the data, to whom in relation to the provision of services by the Bank, the fulfilment by the Bank of its lawful obligations and the Bank's legitimate interests, the data of the applicant or private individuals related with him/her were transferred and/or disclosed. Information on the applicant may be disclosed to other parties to a transaction and other persons in accordance with the Client Personal Data Processing Notice.

The applicant and his/her representatives agree that for communication and exchange of data with them or other persons involved in the reviewing and ensuring of the transaction, the Bank is entitled to use public communication channels, including electronic mail, phone, mail and other means of communication. The applicant and his/her representatives assume all risks that are related to the technique and security of the data transfer. The Bank is not liable for losses incurred in relation to unlawful access to the transferred information.

In order to verify or receive additional information, the Bank is entitled to use private or public registers, including to request information on the applicant from the credit information bureau (kredītinformācijas birojs).

Documents enclosed to the Information (questionnaire) for trade finance are as follows:	
Statutes of the company and amendments thereto	
Copies of contracts with suppliers and buyers	
Operating balance sheet with disclosures and income statement with disclosures for the current year	r
Audited balance sheet with disclosures and income statement with disclosures for the previous period	
Presentation of the company	
Tresentation of the company	
A borrower, and potential co-borrowers, guarantors and pledgors (corporate entities) shall fill out F form, potential pledgors (private individuals) shall fill out D form.	ential co-borrowers, guarantors (private
F form: Yes No number of documents L form: No Yes	number of documents
B form: Yes No number of documents form: No Yes	number of documents
D form: Yes No number of documents form: No Yes	number of documents
Hereby I confirm that the information/documents provided are true.	
The applicant represented by	_ /
Who is acting on the grounds of: Statutes Power of attorney	1
other	_
(please specify)	Seal
X	Date / / 20
<sup>1</sup> please enclose a power of attorney to the Questionnaire (signature)	Date
TO BE COMPLETED BY BANK EMPLOYEE	
I confirm the identity and signature of the authorised representative	
Passport / ID document No.	
X	Date / / 20
(name, surname) (signature)	